

Certificate



No.: 968/CSP 1051.00/25

Product tested	Programmable Logic Controller	Certificate holder	Rockwell Automation, Inc. 1201 South Second Street Milwaukee, WI 53204 USA
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Type designation	CompactLogix 5380 and Compact GuardLogix 5380 Controller Families
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Codes and standards	IEC 62443-4-2:2019 (Edition 1.0)
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Intended application	The CompactLogix 5380 and Compact GuardLogix 5380 Controller Families fulfills the requirements for Embedded Devices up to Security Level Capability 1 (SL-C 1) according to IEC 62443-4-2:2019 (Edition 1.0) standard.
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The CompactLogix 5380 and Compact GuardLogix 5380 Controller Families has been developed and is maintained according to the Secure Product Development Lifecycle of the manufacturer based on the requirements of IEC 62443-4-1:2018 (Edition 1.0) standard.

Specific requirements	The instructions of the associated User Manuals and the Reference Manual released by manufacturer must be considered. The current versions of the product are specified in the currently valid revision list. The list is released by the manufacturer in cooperation with the certification body.
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Valid until 2030-10-28

The issue of this certificate is based upon an evaluation in accordance with the Certification Program CERT CS1 V3.0:2021 in its actual version, whose results are documented in Report No. 968/CSP 1051.00/25 dated 2025-10-28. This certificate is valid only for products, which are identical with the product tested. Issued by the certification body accredited by DAkkS according to DIN EN ISO/IEC 17065. The accreditation is only valid for the scope listed in the annex to the accreditation certificate D-ZE-11052-02-00.

TÜV Rheinland Industrie Service GmbH
Bereich Automation
Funktionale Sicherheit
Am Grauen Stein, 51105 Köln

Köln, 2025-10-28

Certification Body Safety & Security for Automation & Grid

Dipl.-Ing. (FH) Sergei Biberdorf

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Modules / Components CompactLogix 5380 Controller Family

Type Designation	Description	Series	Firmware Revision	Report-No.	Certification Status
5069-L306ER / 5069-L306ERM	Controller (User Memory 0.6MB) RM - Motion Capabilities	A	V38.011	968/FSP 1051.01/25	Valid
		A	V37.013	968/FSP 1051.02/26	Valid
		A	V37.012	968/FSP 1051.00/25	Valid
		A	V37.011	968/FSP 1792.16/25	Valid
		A	V36.014	968/CSP 1051.02/26	Valid
		A	V36.013	968/CSP 1051.00/25	Valid
		A	V36.011	968/FSP 1792.09/24	Valid
5069-L310ER / 5069-L310ERM / 5069-L310ERMK / 5069-L310ER-NSE	Controller (User Memory 1MB) RM - Motion Capabilities RMK - Conformally coated version of 5069-L310ERM NSE - No Stored Energy	A	V38.011	968/FSP 1051.01/25	Valid
		A	V37.013	968/FSP 1051.02/26	Valid
		A	V37.012	968/FSP 1051.00/25	Valid
		A	V37.011	968/FSP 1792.16/25	Valid
		A	V36.014	968/CSP 1051.02/26	Valid
		A	V36.013	968/CSP 1051.00/25	Valid
		A	V36.011	968/FSP 1792.09/24	Valid

5069-L320ER / 5069-L320ERM / 5069-L320ERMK / 5069-L320ERP	Controller (User Memory 2MB) RM - Motion Capabilities RMK - Conformally coated version of 5069-L320ERM RP - Process Controller	A	V38.011	968/FSP 1051.01/25	Valid
		A	V37.013	968/FSP 1051.02/26	Valid
		A	V37.012	968/FSP 1051.00/25	Valid
		A	V37.011	968/FSP 1792.16/25	Valid
		A	V36.014	968/CSP 1051.02/26	Valid
		A	V36.013	968/CSP 1051.00/25	Valid
		A	V36.011	968/FSP 1792.09/24	Valid
5069-L330ER / 5069-L330ERM / 5069-L330ERMK	Controller (User Memory 3MB) RM - Motion Capabilities RMK - Conformally coated version of 5069-L330ERM	A	V38.011	968/FSP 1051.01/25	Valid
		A	V37.013	968/FSP 1051.02/26	Valid
		A	V37.012	968/FSP 1051.00/25	Valid
		A	V37.011	968/FSP 1792.16/25	Valid
		A	V36.014	968/CSP 1051.02/26	Valid
		A	V36.013	968/CSP 1051.00/25	Valid
		A	V36.011	968/FSP 1792.09/24	Valid
5069-L340ER / 5069-L340ERM / 5069-L340ERP	Controller (User Memory 4MB) RM - Motion Capabilities RP - Process Controller	A	V38.011	968/FSP 1051.01/25	Valid
		A	V37.013	968/FSP 1051.02/26	Valid
		A	V37.012	968/FSP 1051.00/25	Valid
		A	V37.011	968/FSP 1792.16/25	Valid
		A	V36.014	968/CSP 1051.02/26	Valid
		A	V36.013	968/CSP 1051.00/25	Valid
		A	V36.011	968/FSP 1792.09/24	Valid

5069-L350ERM / 5069-L350ERMK	Controller (User Memory 5MB) RM - Motion Capabilities RMK - Conformally coated version of 5069-L350ERM	A	V38.011	968/FSP 1051.01/25	Valid
		A	V37.013	968/FSP 1051.02/26	Valid
		A	V37.012	968/FSP 1051.00/25	Valid
		A	V37.011	968/FSP 1792.16/25	Valid
		A	V36.014	968/CSP 1051.02/26	Valid
		A	V36.013	968/CSP 1051.00/25	Valid
		A	V36.011	968/FSP 1792.09/24	Valid
5069-L380ERM	Controller (User Memory 8MB) RM - Motion Capabilities	A	V38.011	968/FSP 1051.01/25	Valid
		A	V37.013	968/FSP 1051.02/26	Valid
		A	V37.012	968/FSP 1051.00/25	Valid
		A	V37.011	968/FSP 1792.16/25	Valid
		A	V36.014	968/CSP 1051.02/26	Valid
		A	V36.013	968/CSP 1051.00/25	Valid
		A	V36.011	968/FSP 1792.09/24	Valid
5069-L3100ERM	Controller with Motion Capabilities (User Memory 10MB)	A	V38.011	968/FSP 1051.01/25	Valid
		A	V37.013	968/FSP 1051.02/26	Valid
		A	V37.012	968/FSP 1051.00/25	Valid
		A	V37.011	968/FSP 1792.16/25	Valid
		A	V36.014	968/CSP 1051.02/26	Valid
		A	V36.013	968/CSP 1051.00/25	Valid
		A	V36.011	968/FSP 1792.09/24	Valid

Modules / Components Compact GuardLogix 5380 Safety Controller Family

Type Designation	Description	Series	Firmware Revision	Report-No.	Certification Status
5069-L306ERMS2 / 5069-L306ERS2	Compact GuardLogix 5380 Safety Controller (User Memory 0.6MB)	A, B	V38.011	968/FSP 1051.01/25	Valid
			V37.013	968/FSP 1051.02/26	Valid
			V37.012	968/FSP 1051.00/25	Valid
			V37.011	968/FSP 1792.16/25	Valid
5069-L306ERMS3	Compact GuardLogix 5380 Safety Controller, (User Memory 0.6MB) RM - Motion Capabilities	A	V38.011	968/FSP 1051.01/25	Valid
			V37.013	968/FSP 1051.02/26	Valid
			V37.012	968/FSP 1051.00/25	Valid
			V37.011	968/FSP 1792.16/25	Valid
5069-L310ERMS2v/ 5069-L310ERS2/ 5069-L310ERS2K/ 5069-L310ERMS2K	Compact GuardLogix 5380 Safety Controller (User Memory 1MB) RM - Motion Capabilities K - Conformally coated version	A, B	V38.011	968/FSP 1051.01/25	Valid
			V37.013	968/FSP 1051.02/26	Valid
			V37.012	968/FSP 1051.00/25	Valid
			V37.011	968/FSP 1792.16/25	Valid
5069-L310ERMS3/ 5069-L310ERMS3K	Compact GuardLogix 5380 Safety Controller (User Memory 1MB) RM - Motion Capabilities K - Conformally coated version	A	V38.011	968/FSP 1051.01/25	Valid
			V37.013	968/FSP 1051.02/26	Valid
			V37.012	968/FSP 1051.00/25	Valid
			V37.011	968/FSP 1792.16/25	Valid
5069-L320ERMS2/ 5069-L320ERS2/ 5069-L320ERS2K/ 5069-L320ERMS2K	Compact GuardLogix 5380 Safety Controller (User Memory 2MB) RM - Motion Capabilities K - Conformally coated version	A, B	V38.011	968/FSP 1051.01/25	Valid
			V37.012	968/FSP 1051.00/25	Valid
			V37.011	968/FSP 1792.16/25	Valid

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Type Designation	Description	Series	Firmware Revision	Report-No.	Certification Status
5069-L320ERMS3/ 5069-L320ERMS3K	Compact GuardLogix 5380 Safety Controller (User Memory 2MB) RM - Motion Capabilities K - Conformally coated version	A	V38.011	968/FSP 1051.01/25	Valid
			V37.013	968/FSP 1051.02/26	Valid
			V37.012	968/FSP 1051.00/25	Valid
			V37.011	968/FSP 1792.16/25	Valid
5069-L330ERMS2/ 5069-L330ERS2/ 5069-L330ERS2K/ 5069-L330ERMS2K	Compact GuardLogix 5380 Safety Controller (User Memory 3MB) RM - Motion Capabilities K - Conformally coated version	A, B	V38.011	968/FSP 1051.01/25	Valid
			V37.013	968/FSP 1051.02/26	Valid
			V37.012	968/FSP 1051.00/25	Valid
			V37.011	968/FSP 1792.16/25	Valid
5069-L330ERMS3/ 5069-L330ERMS3K	Compact GuardLogix 5380 Safety Controller (User Memory 3MB) RM - Motion Capabilities K - Conformally coated version	A	V38.011	968/FSP 1051.01/25	Valid
			V37.013	968/FSP 1051.02/26	Valid
			V37.012	968/FSP 1051.00/25	Valid
			V37.011	968/FSP 1792.16/25	Valid
5069-L340ERMS2/ 5069-L340ERS2	Compact GuardLogix 5380 Safety Controller (User Memory 4MB) RM - Motion Capabilities	A, B	V38.011	968/FSP 1051.01/25	Valid
			V37.013	968/FSP 1051.02/26	Valid
			V37.012	968/FSP 1051.00/25	Valid
			V37.011	968/FSP 1792.16/25	Valid
5069-L340ERMS3	Compact GuardLogix 5380 Safety Controller (User Memory 4MB) RM - Motion Capabilities	A	V38.011	968/FSP 1051.01/25	Valid
			V37.013	968/FSP 1051.02/26	Valid
			V37.012	968/FSP 1051.00/25	Valid
			V37.011	968/FSP 1792.16/25	Valid

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5069-L350ERMS2/ 5069-L350ERS2/ 5069-L350ERS2K/ 5069-L350ERMS2K	Compact GuardLogix 5380 Safety Controller (User Memory 5MB) RM - Motion Capabilities K - Conformally coated version	A, B	V38.011	968/FSP 1051.01/25	Valid
			V37.013	968/FSP 1051.02/26	Valid
			V37.012	968/FSP 1051.00/25	Valid
			V37.011	968/FSP 1792.16/25	Valid
5069-L350ERMS3/ 5069-L350ERMS3K	Compact GuardLogix 5380 Safety Controller (User Memory 5MB) RM - Motion Capabilities K - Conformally coated version	A	V38.011	968/FSP 1051.01/25	Valid
			V37.013	968/FSP 1051.02/26	Valid
			V37.012	968/FSP 1051.00/25	Valid
			V37.011	968/FSP 1792.16/25	Valid
5069-L380ERMS2/ 5069-L380ERS2	Compact GuardLogix 5380 Safety Controller (User Memory 8MB) RM - Motion Capabilities K - Conformally coated version	A, B	V38.011	968/FSP 1051.01/25	Valid
			V37.013	968/FSP 1051.02/26	Valid
			V37.012	968/FSP 1051.00/25	Valid
			V37.011	968/FSP 1792.16/25	Valid
5069-L380ERMS3	Compact GuardLogix 5380 Safety Controller (User Memory 8MB) RM - Motion Capabilities	A	V38.011	968/FSP 1051.01/25	Valid
			V37.013	968/FSP 1051.02/26	Valid
			V37.012	968/FSP 1051.00/25	Valid
			V37.011	968/FSP 1792.16/25	Valid
5069-L3100ERMS2/ 5069-L3100ERS2)	Compact GuardLogix 5380 Safety Controller (User Memory 10MB) RM - Motion Capabilities K - Conformally coated version	A, B	V38.011	968/FSP 1051.01/25	Valid
			V37.013	968/FSP 1051.02/26	Valid
			V37.012	968/FSP 1051.00/25	Valid
			V37.011	968/FSP 1792.16/25	Valid

5069-L3100ERMS3	Compact GuardLogix 5380 Safety Controller (User Memory 10MB) RM - Motion Capabilities	A	V38.011	968/FSP 1051.01/25	Valid
			V37.013	968/FSP 1051.02/26	Valid
			V37.012	968/FSP 1051.00/25	Valid
			V37.011	968/FSP 1792.16/25	Valid

Important Note

The following is explicitly excluded from certification:

- High Availability configuration
- Secure Socket Object feature
- Remote Deployment of Save/Restore Images feature

To be informed about the latest product-related security notification the website https://rockwellautomation.custhelp.com/app/answers/answer_view/a_id/54102 of product manufacturer shall be visited periodically.

The content of this Revision List has been agreed between Manufacturer and Certification Body.



CompactLogix & Compact GuardLogix 5380 Controller Families Revision Release List

Rockwell Automation • Certificate No.: 968/CSP 1051.00/25



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Revision:

Date	Rev.	Description / Changes	Author
2019-04-12	1.0	Initial creation, based on Report-No.: 968/FSP 1792.00/19	Jk/A-FS
2019-09-09	2.0	Updated based on FW v32.012, based on Report-No.: 968/FSP 1792.01/19	Jk/A-FS
2020-02-19	3.0	Updated based on FW v32.013, based on Report-No.: 968/FSP 1792.02/20	Jk/A-FS
2020-07-15	4.0	Updated based on FW v32.014, based on Report-No.: 968/FSP 1792.03/20	Jk/A-FS
2021-04-19	5.0	Updated based on FW v33.011, based on Report-No.: 968/FSP 1792.04/21	Jk/A-FS
2022-06-21	6.0	Updated based on FW v33.012, based on Report-No.: 968/FSP 1792.05/22	Ja/A-FS
2022-06-23	7.0	Updated based on FW v34.011, based on Report-No.: 968/FSP 1792.06/22	Ja/A-FS
2023-01-05	8.0	Updated based on FW v35.011, based on Report-No.: 968/FSP 1792.07/23	Ja/A-FS
2023-07-20	9.0	Updated based on FW v33.015 & V32.016, based on Report-No.: 968/FSP 1792.08/23	Ja/A-FS
2024-03-25	10.0	Updated based on FW v36.011, based on Report-No.: 968/FSP 1792.09/24	Ti/A-FS
2024-04-30	11.0	Updated based on FW v34.014 & v35.013, based on Report-No.: 968/FSP 1792.10/24	PV/A-FS
2024-05-15	12.0	Updated due to RL error, based on Report-No.: 968/FSP 1792.11/24	Ja/A-FS&CS
2024-08-23	13.0	Updated based on FW v33.017, based on Report-No.: 968/FSP 1792.12/24	SM/A-FS
2024-10-11	14.0	Updated due to RL error, based on Report-No.: 968/FSP 1792.13/24	Ti/A-FS&CS
2024-10-16	15.0	Updated based on Report-No.: 968/FSP 1792.14/24	Ti/A-FS&CS
2025-04-01	16.0	Updated based on Report-No.: 968/FSP 1792.15/25	PV/A-FS&CS
2025-05-20	17.0	Updated based on Report-No.: 968/FSP 1792.16/25	Ti/A-FS&CS
2025-10-01	18.0	Updated based on Report-No.: 968/CSP 1051.00/25	Ti/A-FS&CS
2025-11-26	19.0	Updated based on Report-No.: 968/CSP 1051.01/25	Ti/A-FS&CS
2026-05-11	20.0	Updated based on Report-No.: 968/CSP 1051.02/26	Ti/A-FS&CS

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